

JUL 25 2001

PTO/SB/01 (12-97)

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

☐ Declaration  
Submitted  
With Initial  
Filing

☒ Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)

Agency Docket Number

3381

First Named Inventor

Michael P. Mittmann

## COMPLETE IF KNOWN

Application Number

09/824,931

Filing Date

4/3/01

Group Art Unit

1645

Examiner Name

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Photolithographic Method and System for Efficient Mask Usage in Manufacturing  
DNA Arrays

the specification of which

(Title of the Invention)

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY)

04/03/2001

as United States Application Number or PCT International

Application Number

09/824,931

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY) Country	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
60/265,103	01/29/2001	

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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## DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365© of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to receive notices in the Patent and Trademark Office connected therewith

☐ Customer Number 22886 → 

OR

☒ Registered practitioner(s) name/registration number listed below

Name	Registration Number	Name	Registration Number
Vern Norviel	32,483	Philip L. McGarrigle	31,395
Wei Zhou	44,419	Alan B. Sherr	42,147

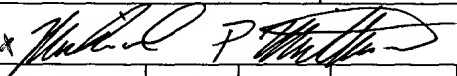
☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☒ Customer Number or Bar Code Label 22886 OR ☒ Correspondence address below

Name	Affymetrix, Inc.				
Address	General IP Counsel - Legal Department				
Address	3380 Central Expressway				
City	Santa Clara	State	CA	ZIP	95051
Country	USA	Telephone	408/731-5000	Fax	408/731-5392

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])				Family Name or Surname			
Michael P.				Mittmann			
Inventor's Signature						Date	7/5/01
Residence: City	Palo Alto	State	CA	Country	USA	Citizenship	USA
Post Office Address	2377 St. Francis Drive						
Post Office Address							
City	Palo Alto	State	CA	ZIP	94303	Country	USA

☐ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

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**DECLARATION****ADDITIONAL INVENTOR(S)****Supplemental Sheet****Page 1 of 1**

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Earl A.		Hubbell	
Inventor's Signature		Date	
Residence: City	Los Angeles	State	CA
		Country	USA
Citizenship		USA	
Mailing Address 416 S. Genesee			
Mailing Address			
City	Los Angeles	State	CA
		ZIP	90036
		Country	USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature		Date	
Residence: City		State	
		Country	
Citizenship			
Mailing Address			
Mailing Address			
City		State	
		Zip	
		Country	
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		Country	
Citizenship			
Mailing Address			
Mailing Address			
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OR

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Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)

Attorney Docket Number 3381

First Named Inventor Michael P. Mittmann

**COMPLETE IF KNOWN**

Application Number 09/824,931

Filing Date 4/3/01

Group Art Unit 1645

Examiner Name

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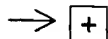
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☐ Customer Number

22896

OR

☒ Registered practitioner(s) name/registration number listed below



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Wei Zhou	44,419	Alan B. Sherr	42,147

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Direct all correspondence to:

☒ Customer Number  
or Bar Code Label

22886

OR

☒ Correspondence address below

<b>Name</b>	Affymetrix, Inc.				
<b>Address</b>	General IP Counsel - Legal Department				
<b>Address</b>	3380 Central Expressway				
<b>City</b>	Santa Clara	<b>State</b>	CA	<b>ZIP</b>	95051
<b>Country</b>	USA	<b>Telephone</b>	408/731-5000	<b>Fax</b>	408/731-5392

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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<b>Given Name (first and middle [if any])</b>				<b>Family Name or Surname</b>			
Michael P.				Mittmann			
<b>Inventor's Signature</b>						<b>Date</b>	
<b>Residence: City</b>	Palo Alto	<b>State</b>	CA	<b>Country</b>	USA	<b>Citizenship</b>	USA
<b>Post Office Address</b>	2377 St. Francis Drive						
<b>Post Office Address</b>							
<b>City</b>	Palo Alto	<b>State</b>	CA	<b>ZIP</b>	94303	<b>Country</b>	USA

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**DECLARATION**
**ADDITIONAL INVENTOR(S)**  
**Supplemental Sheet**  
**Page 1 of 1**

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Earl A.		Hubbell	
Inventor's Signature <i>X</i> <i>Earl A. Hubbell</i>		Date <i>07/02/01</i>	
Residence: City Los Angeles	State CA	Country USA	Citizenship USA
Mailing Address 416 S. Genesee			
Mailing Address			
City Los Angeles	State CA	ZIP 90036	Country USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
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Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
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